# 2018 Current Fiscal Year Report: National Institute on Aging Initial Review Group

Report Run Date: 06/05/2019 11:55:47 AM

1. Department or Agency 2. Fiscal Year

Department of Health and Human Services 2018

3. Committee or Subcommittee 3b. GSA Committee No.

National Institute on Aging Initial Review Group 218

4. Is this New During Fiscal 5. Current 6. Expected Renewal 7. Expected Term

Year? Charter Date Date

No 07/01/1990

8a. Was Terminated During 8b. Specific Termination 8c. Actual Term

FiscalYear? Authority Date

No

9. Agency Recommendation for Next10a. Legislation Reg to 10b. Legislation

FiscalYear Terminate? Pending?

Continue Not Applicable Not Applicable

**11. Establishment Authority** Authorized by Law

12. Specific Establishment 13. Effective 14. Committee 14c.

Authority Date Type Presidential?

42 U.S.C. 282(b)(16) 11/20/1985 Continuing No

15. Description of Committee Grant Review Committee

**16a. Total Number of** No Reports for this

**Reports** FiscalYear

# 17a. Open 0 17b. Closed 16 17c. Partially Closed 0 Other Activities 0 17d. Total 16 Meetings and Dates

| Purpose         | Start      |   | End        |
|-----------------|------------|---|------------|
| NIH Peer Review | 10/05/2017 | - | 10/06/2017 |
| NIH Peer Review | 10/05/2017 | - | 10/06/2017 |
| NIH Peer Review | 10/05/2017 | - | 10/06/2017 |
| NIH Peer Review | 10/05/2017 | - | 10/06/2017 |
| NIH Peer Review | 02/01/2018 | - | 02/02/2018 |
| NIH Peer Review | 02/01/2018 | - | 02/02/2018 |
| NIH Peer Review | 02/01/2018 | - | 02/02/2018 |
| NIH peer Review | 02/01/2018 | - | 02/02/2018 |
| NIH Peer Review | 06/05/2018 | - | 06/06/2018 |
| NIH Peer Review | 06/05/2018 | - | 06/06/2018 |
| NIH Peer Review | 06/06/2018 | - | 06/07/2018 |
| NIH Peer Review | 06/06/2018 | - | 06/07/2018 |
| NIH Peer Review | 09/27/2018 | - | 09/28/2018 |
| NIH Peer Review | 09/27/2018 | - | 09/28/2018 |
| NIH Peer Review | 09/27/2018 | - | 09/28/2018 |
| NIH Peer Review | 09/27/2018 | - | 09/28/2018 |
|                 |            |   |            |

**Number of Committee Meetings Listed: 16** 

| 18a(1). Personnel Pmts to Non-Federal Members                  | \$107,400.00     | \$107,400.00  |
|--|------------------|---------------|
| 18a(2). Personnel Pmts to Federal Members                      | \$0.00           | \$0.00        |
| 18a(3). Personnel Pmts to Federal Staff                        | \$551,600.00     | \$562,081.00  |
| 18a(4). Personnel Pmts to Non-Member Consultants               | \$0.00           | \$0.00        |
| 18b(1). Travel and Per Diem to Non-Federal Members             | \$303,716.00     | \$308,959.00  |
| 18b(2). Travel and Per Diem to Federal Members                 | \$0.00           | \$0.00        |
| 18b(3). Travel and Per Diem to Federal Staff                   | \$0.00           | \$0.00        |
| 18b(4). Travel and Per Diem to Non-member Consultants          | \$0.00           | \$0.00        |
| 18c. Other(rents,user charges, graphics, printing, mail, etc.) | \$104,594.00     | \$106,686.00  |
| 18d. Total   | \$1,067,310.00\$ | 31,085,126.00 |
| 19. Federal Staff Support Years (FTE)                          | 4.00             | 4.00          |

## 20a. How does the Committee accomplish its purpose?

Section 492 of the PHS Act states that The Secretary...shall by regulation require appropriate technical and scientific peer review of -- (A) applications...; and (B) biomedical and behavioral research and development contracts... This committee is composed of recognized biomedical and/or behavioral research authorities who represent the forefront of research and technical knowledge and who provide first-level merit review of highly scientific and technical research grant applications, research training applications, and contract proposals concerned with research on aging in the basic biological, clinical, biomedical and behavioral sciences. During this reporting period the committee reviewed 346 applications requesting \$299,942,582.

### 20b. How does the Committee balance its membership?

The members of the committee are authorities knowledgeable in the basic biological, clinical, behavioral and social sciences research. Members are also knowledgeable in the aging processes, geriatrics, gerontology and/or development of curricula in all facets of aging research. More specifically, members have expertise in molecular biology, neurology, neurochemistry, cell biology, nutrition, immunology, demography, economics, psychology, and sociology.

### 20c. How frequent and relevant are the Committee Meetings?

The committee held 16 meetings during this reporting period. Generally 12 meetings are held but the committee held 4 meetings in September 2018 instead of October 2018 for the January 2019 council. The requested dollars amount includes the meetings held in September 2018.

20d. Why can't the advice or information this committee provides be obtained

#### elsewhere?

This committee is composed of recognized biomedical research authorities who represent the forefront of research and technical knowledge and who provide first-level merit review of highly scientific and technical research grant applications and contract proposals. These evaluations and recommendations cannot be obtained from other sources because the specialized, complex nature of the applications and proposals requires a unique balance and breadth of expertise not available on the NIH staff or from other established sources.

## 20e. Why is it necessary to close and/or partially closed committee meetings?

The meetings of the committee were closed to the public for the review of grant applications. Sections 552b(c)(4) and 552b(c)(6) of the Government in the Sunshine Act permit the closing of meetings where discussions could reveal confidential trade secrets or commercial property such as patentable material and personal information, the disclosure of which would constitute a clearly unwarranted invasion of personal property.

#### 21. Remarks

Due to the large number of members associated with this committee, NIH staff are unable to provide individual zip codes for all members. Current individual meeting rosters, including zip codes, are available on line at

http://www.nia.nih.gov/research/dea-scientific-review/chartered-review-committees-nia. Past individual meeting rosters are available by contacting Ramesh Vemuri, DFO, (301) 402-7700; vemurir@nia.nih.gov . Reports: This committee did not produce any public reports this year. DFO/Committee Decision Maker: The DFO and Committee Decision Maker functions are held by the same individual based on delegations of authority and assigned duties in this Institute.

#### **Designated Federal Officer**

#### RAMESH VEMURI REVIEW BRANCH CHIEF

| Committee Members | Start                 | End                 | Occupation                           | Member Designation               |
|-------------------|-----------------------|---------------------|--------------------------------------|----------------------------------|
| ALEXANDER, GENE   | 08/28/2013            | 3 06/30/2019        | PROFESSOR                            | Peer Review Consultant<br>Member |
| ANDERSON, ROZALYN | 07/01/2017 (          | 7 06/30/2023        | ASSOCIATE PROFESSOR                  | Peer Review Consultant           |
|                   | 01/01/2011            | 00/30/2020          |                                      | Member                           |
| ARRIAGA, EDGAR    | 07/01/2017 06/30/2018 | PROFESSOR           | Peer Review Consultant               |                                  |
|                   |                       |                     | Member                               |                                  |
| BARRY, LISA       | 07/01/2017 06/3       | 7 06/20/2021        | 21 ASSISTANT PROFESSOR OF PSYCHIATRY | Peer Review Consultant           |
|                   | 07/01/2017            | 00/30/2021          |                                      | Member                           |
| BRICKMAN, ADAM    | 07/01/2018 06/30/202  | 0.00/20/2020        | 2 ASSOCIATE PROFESSOR                | Peer Review Consultant           |
|                   |                       | 00/30/2022          |                                      | Member                           |
| BROWN-BORG, HOLLY | 07/01/2017 06/30/2023 | 3 PROFESSOR         | Peer Review Consultant               |                                  |
|                   |                       |                     | Member                               |                                  |
| BYRD, DESIREE     | 07/01/2018 06/30/2022 | 0.00/20/2020        | A CCICTANT DROFFCCOR                 | Peer Review Consultant           |
|                   |                       | ASSISTANT PROFESSOR | Member                               |                                  |

| CARLSSON, CYNTHIA         | 08/29/2013 0 | 06/30/2019 A | SSOCIATE PROFESSOR                      | Peer Review Consultant<br>Member |
|---------------------------|--------------|--------------|---|----------------------------------|
| CLARK, CHERYL             | 08/19/2013 0 | 06/30/2019 A | SSISTANT PROFESSOR AND DIRECTOR         | Peer Review Consultant<br>Member |
| DERBY, CAROL              | 08/11/2016 0 | 06/30/2020 A | SSOCIATE PROFESSOR                      | Peer Review Consultant<br>Member |
| DEROSA, EVE               | 07/01/2018 0 | 06/30/2022 A | SSOCIATE PROFESSOR                      | Peer Review Consultant<br>Member |
| ELK, RONIT                | 11/24/2015 0 | 06/30/2019 R | ESEARCH ASSOCIATE PROFESSOR             | Peer Review Consultant<br>Member |
| FAIN, MINDY               | 08/12/2013 0 | 06/30/2019 P | ROFESSOR OF MEDICINE                    | Peer Review Consultant<br>Member |
| FITZPATRICK, ANNETTE      | 07/01/2018 0 | 06/30/2024 R | ESEARCH PROFESSOR                       | Peer Review Consultant<br>Member |
| HAIGIS, MARCIA            | 09/01/2013 0 | 06/30/2019 A | SSOCIATE PROFESSOR                      | Peer Review Consultant<br>Member |
| HAN, DUKE                 | 07/01/2018 0 | 06/30/2024 A | SSOCIATE PROFESSOR                      | Peer Review Consultant<br>Member |
| HUDRY, ELOISE             | 07/01/2018 0 | 06/30/2024 A | SSISTANT PROFESSOR                      | Peer Review Consultant<br>Member |
| ISALES, CARLOS            | 08/06/2013 0 | 06/30/2019 P | ROFESSOR                                | Peer Review Consultant<br>Member |
| JAYADEV, SUMAN            | 07/01/2018 0 | 06/30/2022 A | SSOCIATE PROFESSOR                      | Peer Review Consultant<br>Member |
| JAZWINSKI, S. MICHAL      | 07/01/2014 0 | 06/30/2020 P | ROFESSOR                                | Peer Review Consultant<br>Member |
| JONES, DANA               | 09/05/2016 0 | 06/30/2022 P | ROFESSOR                                | Peer Review Consultant<br>Member |
| KELLEY, AMY               | 07/01/2018 0 | 06/30/2022 A | SSOCIATE PROFESSOR                      | Peer Review Consultant<br>Member |
| LAKOSKI, JOAN             | 08/23/2013 0 | )6/3N/2N1Q   | ICE PRESIDENT & CHIEF SCIENCE<br>FFICER | Peer Review Consultant<br>Member |
| LEWIS, JADA               | 10/01/2012 0 | 06/30/2018 A | SSOCIATE PROFESSOR                      | Peer Review Consultant<br>Member |
| MACK, WENDY               | 07/01/2018 0 | 06/30/2020 P | ROFESSOR                                | Peer Review Consultant Member    |
| MAESTAS, NICOLE           | 07/01/2018 0 | 16/30/2020   | SSOCIATE PROFESSOR OF HEALTH CARE       |                                  |
| MARSHALL, GAD             | 07/01/2018 0 | 06/30/2024 A | SSOCIATE PROFESSOR                      | Peer Review Consultant<br>Member |
| MARTIRE, LYNN             | 08/15/2016 0 | 06/30/2018 P | ROFESSOR                                | Peer Review Consultant Member    |
| MAURER, MATHEW            | 07/01/2017 0 | 06/30/2018 P | ROFESSOR                                | Peer Review Consultant<br>Member |
| MOORE, ALISON             | 08/09/2013 0 | 06/30/2019 P | ROFESSOR                                | Peer Review Consultant<br>Member |
| PERIYAKOIL,<br>VYJEYANTHI | 08/02/2015 0 | 06/30/2019 A | SSOCIATE PROFESSOR                      | Peer Review Consultant<br>Member |
| RIVER-MINDT, MONICA       | 07/01/2018 0 | 06/30/2022 P | ROFESSOR                                | Peer Review Consultant<br>Member |
| ROSENBERG, PAUL           | 08/23/2013 0 | 06/30/2019 A | SSOCIATE PROFESSOR                      | Peer Review Consultant<br>Member |
| SANCHEZ-REILLY,<br>SANDRA | 09/06/2016 0 | 06/30/2018 P | ROFESSOR                                | Peer Review Consultant<br>Member |
| SEGEV, DORRY              | 09/08/2017 0 | 06/30/2019 P | ROFESSOR                                | Peer Review Consultant<br>Member |
| SOTO, CLAUDIO             | 07/01/2018 0 | 06/30/2022 P | ROFESSOR                                | Peer Review Consultant<br>Member |

| TERRIN, MICHAEL | 08/26/2013 06/30/2019 PROFESSOR             | Peer Review Consultant |
|-----------------|---|------------------------|
|                 | 00/20/2013 00/30/2019 PROFESSOR             | Member                 |
| VIDAL DIDEN     | 07/01/2018 06/30/2022 PROFESSOR             | Peer Review Consultant |
| VIDAL, RUBEN    | 07/01/2016 00/30/2022 PROFESSOR             | Member                 |
| VIIC IAN        | 07/09/2013 06/30/2019 PROFESSOR AND CHAIR   | Peer Review Consultant |
| VIJG, JAN       | 07/09/2013 00/30/2019 PROFESSOR AND CHAIR   | Member                 |
| VILLEDA, SAUL   | 07/01/2018 06/30/2024 ASSISTANT PROFESSOR   | Peer Review Consultant |
|                 | 07/01/2016 00/30/2024 ASSISTANT PROFESSOR   | Member                 |
| VOSS, MICHELLE  | 07/01/2018 06/30/2022 ASSISTANT PROFESSOR   | Peer Review Consultant |
|                 | 07/01/2010 00/30/2022 ASSISTANT FROI ESSON  | Member                 |
| WOLK, DAVID     | 07/01/2018 06/30/2022 ASSOCIATE PROFESSOR   | Peer Review Consultant |
|                 | 07/01/2010 00/30/2022 ASSOCIATE FIXOI ESSOR | Member                 |
| YUNG, RAYMOND   | 08/07/2016 06/30/2018 PROFESSOR             | Peer Review Consultant |
|                 | 00/07/2010 00/30/2010 FROI E330K            | Member                 |
| ZAIDI, MONE     | 08/07/2014 06/30/2018 PROFESSOR             | Peer Review Consultant |
|                 | 00/07/2014 00/30/2010 FROI E330K            | Member                 |
| ZMUDA, JOSEPH   | 07/07/2015 06/30/2019 ASSOCIATE PROFESSOR   | Peer Review Consultant |
|                 | 01/01/2010 00/00/2010 A0000/ATE 1 NOI 2000N | Member                 |

**Number of Committee Members Listed: 45** 

## **Narrative Description**

The goal of NIH research is to acquire new knowledge to help prevent, detect, diagnose and treat disease and disability, from the rarest genetic disorder to the common cold. The NIH mission is to uncover new knowledge that will lead to better health for everyone. NIH works toward that mission by supporting the research of non-Federal scientists in universities, medical schools, hospitals, and research institutions throughout the country and abroad. Section 492 of the PHS Act states that The Secretary...shall by regulation require appropriate technical and scientific peer review of - (A) applications...; and (B) biomedical and behavioral research and development contracts... This committee is composed of recognized biomedical and/or behavioral research authorities who represent the forefront of research and technical knowledge and who provide first-level merit review of highly scientific and technical research grant applications in the fields of molecular biology, neurology, neurochemistry, cell biology, nutrition, immunology, demography, economics, psychology, and sociology.

# What are the most significant program outcomes associated with this committee?

|                                  | Cnecked if Applies |
|----------------------------------|--------------------|
| Improvements to health or safety |                    |
| Trust in government              |                    |
| Major policy changes             |                    |
| Advance in scientific research   | ✓                  |
| Effective grant making           | ✓                  |
| Improved service delivery        |                    |
| Increased customer satisfaction  |                    |

| Implementation of laws or regulatory requirements Other  |            |
|--|------------|
| Outcome Comments<br>N/A  |            |
| What are the cost savings associated with this committee?  |            |
| Checked if Appl  | ies        |
| None   |            |
| Unable to Determine  | ✓          |
| Under \$100,000  |            |
| \$100,000 - \$500,000  |            |
| \$500,001 - \$1,000,000  |            |
| \$1,000,001 - \$5,000,000  |            |
| \$5,000,001 - \$10,000,000   |            |
| Over \$10,000,000  |            |
| Cost Savings Other   |            |
| Cost Savings Comments  |            |
| NIH supported basic and clinical research accomplishments often take many years to                                       |            |
| unfold into new diagnostic tests and new ways to treat and prevent diseases.   |            |
| What is the approximate <u>Number</u> of recommendations produced by this committee for the life of the committee? 4,440 | <b>:</b> e |
| Number of Recommendations Comments Grant Review  |            |
| What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?   | r          |

## % of Recommendations Fully Implemented Comments

0%

NIH Peer Review Committees are involved in the initial review of research grant applications. The NIH dual peer review system is mandated by statute in accordance with section 492 of the Public Health Service Act. The charge to this committee is to determine scientific and technical merit of the individual grants or contracts. These recommendations are forwarded to Federal officials who generally accept the committee's recommendations

and favorable applications are then forwarded for the second level of review performed by Institute and Center (IC) National Advisory Councils or Boards. Only applications that are favorably recommended by both the initial peer review committee and the Advisory Council may be recommended for funding.

What is the approximate <u>Percentage</u> of these recommendations that have been or will be <u>Partially</u> implemented by the agency?

0%

## % of Recommendations Partially Implemented Comments

NIH Peer Review Committees are involved in the initial review of research grant applications. The NIH dual peer review system is mandated by statute in accordance with section 492 of the Public Health Service Act. The charge to this committee is to determine scientific and technical merit of the individual grants or contracts. These recommendations are forwarded to Federal officials who generally accept the committee's recommendations and favorable applications are then forwarded for the second level of review performed by Institute and Center (IC) National Advisory Councils or Boards. Only applications that are favorably recommended by both the initial peer review committee and the Advisory Council may be recommended for funding.

Does the agency provide the committee with feedback regarding actions taken to

| implement recommendations or advice                     | offered?                                   |
|---|--|
| Yes No Not Applicable                                   |  |
| Agency Feedback Comments<br>N/A                         |  |
| What other actions has the agency taker recommendation? | n as a result of the committee's advice or |
|   | Checked if Applies                         |
| Reorganized Priorities                                  |  |
| Reallocated resources                                   |  |
| Issued new regulation                                   |  |
| Proposed legislation                                    |  |
| Approved grants or other payments                       | ✓  |
| Other   |  |

#### **Action Comments**

An action of approved or recommended for grants receiving review by this council does

not infer that the grant will be or has been funded. Research grant applications submitted to NIH must go through a two-step review process that includes initial peer review for scientific and technical merit and a second step of review for a number of other considerations. These include alignment with NIH's funding principles, review of the project budget, assessment of the applicant's management systems, determination of applicant eligibility, and compliance with public policy requirements. After all of these steps have been completed, NIH officials make funding decisions on individual grant applications.

## Is the Committee engaged in the review of applications for grants?

Yes

| What is the estimated <b>Number</b> of grants reviewed for approval          | 346           |
|--|---------------|
| What is the estimated <b>Number</b> of grants recommended for approval       | 346           |
| What is the estimated <b>Dollar Value</b> of grants recommended for approval | \$299,942,582 |

#### **Grant Review Comments**

The dollar value indicates the total grants funded.

## How is access provided to the information for the Committee's documentation?

Contact DFO
Online Agency Web Site
Online Committee Web Site
Online GSA FACA Web Site

Publications
Other

#### **Access Comments**

N/A